

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Murray L. Finebaum, et al.** Examiner: **Harish T. Dass**

Application No: **10/001,921** Group Art Unit: **3692**

Filed: **November 15, 2001** Confirmation No.: **8945**

For: **METHOD AND APPARATUS FOR TRADING BONDS**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Dear Sir:

Applicants submit the following amendment and remarks for consideration.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 14 of this paper.

Conclusion begins on page 25 of this paper

Authorization begins on page 28 of this paper.